

## Message Text

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ACTION EB-07

INFO OCT-01 CIAE-00 COME-00 DODE-00 NRC-05 NSAE-00 TRSE-00

EUR-12 ERDA-05 ISO-00 ACDA-05 EA-07 /042 W

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R 241254Z NOV 75

FM USMISSION OECD PARIS

TO SECSTATE WASH DC 9367

C O N F I D E N T I A L SECTION 01 OF 02 OECD PARIS 30558

EXCON

E.O. 11652 XGDS1

TAGS: ESTC, COCOM

SUBJECT: COCOM LIST REVIEW - IL 1091

REF: STATE 274695

1. ON NOV. 20, US TABLED IL 1091 PROPOSAL SET FORTH IN TTG-10 REPORT OF OCT. 8 AS MODIFIED BY REF-TEL. COMMITTEE AGREED, ON RECOMMENDATION OF UK, TO GO INTO IMMEDIATE TECHNICAL WORKING SESSION IN ORDER TO GIVE OTHER DELEGATIONS OPPORTUNITY TO HEAR THE US POINT BY POINT TECHNICAL EXPLANATION AND COMPARISON WITH US SECOND ROUND PROPOSAL.

2. IN TWG SESSION, US MADE DETAILED PRESENTATION OF CHANGES IN IL 1091 PROPOSAL. SESSION WAS MARKED BY SOME QUESTIONS AND OBSERVATIONS AND, WITH EXCEPTION OF THE FRENCH, NO MAJOR ISSUES WERE RAISED. THE SPECIFIC POINTS DISCUSSED WERE AS FOLLOWS:

(A.) THE GERMAN EXPERT ASKED FOR AN EXPLANATION OF

SUBITEM (B)(I)(4) AND THE DOUBLE ASTERISK NOTE.

THE US EXPLAINED THAT B. (I)(4) LIMITED THE NUM-

BER OF SIMULTANEOUS WORKING SPINDLES TO ONE (1).

AS AN EXAMPLE, A LARGE BRIDGE TYPE PROFILER

COULD HAVE ONLY ONE WORKING HEAD. IT COULD NOT

HAVE TWO OR MORE HEADS AND THEREBY BE CAPABLE OF

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MACHINING TWO OR MORE PARTS SIMULTANEOUSLY. THE

US EXPLAINED, WITH REGARD TO DOUBLE ASTERISK NOTE, THAT MACHINES WITH TWO WORK STATIONS WERE NOT SUBJECT TO CONTROL PROVIDED THAT EACH STATION WAS LIMITED TO TWO AXIS CONTOURING AND EACH STATION WAS CONTROLLED BY A SEPARATE FEEDRATE NUMBER SUCH AS A TURNING CENTER WHICH CAN PERFORM TURNING AND FACING OPERATIONS SIMULTANEOUSLY.

(B) ON NOTE 2, SUBITEM (C), UK JGUEU THAT SIX MONTHS WAS NOT ENOUGH TIME BECAUSE OF THE TIME CONSUMING LICENSING PROCEDURES. US EXPLAINED THAT THE SIX MONTH TIME LIMIT DID NOT BEGIN UNTIL NC UNIT ACTUALLY SHIPPED INTO BLOC. US AGREED IN TWG, AND SUBSEQUENTLY IN PLENARY SESSION, TO MODIFY SUBITEM TO READ "...SIX MONTHS FROM DATE OF RECEIPT BY A BLOC CONSIGNEE."

(C) ON AN NO. 3, FRENCH (GAMET) INDICATED THAT THEY VIEWED THIS NOTE AS A STRENGTHENING OF THE EMBARGO SINCE THEY BELIEVED THAT CNC UNITS WERE SUBJECT TO AN TREATMENT SINCE 1965. DESPITE EXPLANATION THAT WE DID NOT BELIEVE THE 1965 DEFINITION CONTEMPLATED AN TREATMENT ON CNC SINCE SUCH SYSTEMS WERE NOT IN EXISTENCE AT THAT TIME, (CONFIRMED BY GERMAN EXPERT), AND THAT CNC WAS CLEARLY EMBARGOED IN THE 1971/1972 LIST REVIEW, GAMET APPEARED UNCONVINCED. (GAMET'S ATTITUDE ON THIS POINT MIGHT REFLECT FRENCH ATTITUDE ON FOREST/KONIGSBERG DEAL AND THEIR INITIAL RESSONSE TO OUR INQUIRY--SEE (C) PARAGRAPH 5 FOR ADDITIONAL COMMENT.)

(D) ON SUBITEM D. (6) OF NOTE 3, JAPAN TOOK THE OPPORTUNITY TO TABLE IN THE TWG A COMPROMISE PROPOSAL TO THEIR FIRST ROUND PROPOSAL WHICH WOULD HAVE PERMITTED THE EXPORT OF BOTH HARDWIRED AND SOFTWIRED 2 AXIS NC UNITS WITH INTERFACE TO ENABLE DIRECT COMPUTER INPUT. THE JAPANESE COMPROMISE WOULD NOT LIMIT NC UNITS TO HARDWIRED SYSTEMS ONLY. WHEN QUES-

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TIONED BY JAPANESE, US INDICATED THAT WE WOULD CONSIDER THEIR COMPROMISE BUT THAT WE DID NOT BELIEVE WE COULD CONCUR IN THE EXPORT OF ANY NC'S WITH INTERFACE BECAUSE OF ITS DIRECT RELEVANCE TO DNC SYSTEMS. (US BELIEVES THERE IS SOME CONFUSION IN JAPANESE LINKING THEIR COMPROMISE PROPOSAL TO NOTE 3. (D)(6) SINCE IT REALLY PERTAINS TO SUBITEM A.(IV).) REFERRING

TO SUBITEM D. (6) THE GERMAN DELEGATION ASKED  
WHETHER THIS PARAMETER WOULD PRECLUDE THE CON-

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C O N F I D E N T I A L SECTION 02 OF 02 OECD PARIS 30558

EXCON

NECTION OF A TELETYPE TO NC UNIT FOR USE IN  
READING AND PUNCHING TAPE. THE US ANSWERED THAT  
SUCH A HOOKUP WOULD NOT BE PRECLUDED' BUT THAT  
THERE COULD BE NO LINKAGE BETWEEN THE TELETYPE  
AND A REMOTE COMPUTER.

(E) THE NETHERLANDS SUGGESTED A CHANGE TO SUBITEM  
2.(A) OF THE STATEMENT OF UNDERSTANDING BY THE  
ADDITION OF THE WORDS "FREELY PROGRAMMABLE" AF-  
TER THE WORD "DEDICATED" IN THE FIRST SENTENCE.  
THEY BELIEVED THIS CHANGE NECESSARY TO CLEARLY  
DIFFERENTIATE BETWEEN NC UNITS INCORPORATING  
A COMPUTER AND THOSE USING A MICROPROCESSOR.  
THE US AGREED TO THIS CHANGE BOTH IN THE TWG  
AND LATER IN THE PLENARY SESSION.

(F) THE TWG AGREED TO ADD THE GERMAN STATEMENT ON  
POSITIONING ACCURACY AS A TECHNICAL NOTE AT THE  
END OF THE EMBARGO DEFINITION. THIS ADDITION  
LATER CONFIRMED BY FULL COMMITTEE' INCLUDING  
US.

3. IN THE PLENARY SESSION, GERMANY, THE NETHERLANDS, AND

THE UK ACCEPTED THE US PROPOSAL AS MODIFIED BY THE UK  
(SEE PARA. 2(B) ABOVE) AND THE DUTCH (SEE PARA 2(E)  
ABOVE). JAPAN ACCEPTED THE ENTIRE US PROPOSAL, BUT  
ENTERED AN AD REF ON SUBITEM D.(6) OF NOTE 3. ITALY HAD  
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RESERVED ITS POSITION BECAUSE IT HAD NO TECHNICAL EXPERTS  
PRESENT AND HAD TO SEEK INSTRUCTIONS. (THE ITALIAN DEL  
WAS NOT PRESENT FOR ANY OF THE SUBSTANTIVE DISCUSSIONS.)  
FRANCE TURNED OUT TO BE ONLY FLY IN THE OINTMENT. WHILE  
INDICATING US PROPOSAL WAS STEP IN RIGHT DI-  
RECTION, THEY RESERVED THEIR POSITION AND NOTED THAT THEY  
PROBABLY WOULD NOT BE READY TO TAKE A POSITION UNTIL AF-  
TER THE LIST REVIEW, POSSIBLY IN THE DEFINITION SERIES.

4. DURING THE SESSION, GAMET MAINTAINED FRENCH VIEW THAT  
1091 PERTAINED ONLY TO ELECTRIC AND ELECTRONIC DEVICES  
AND NOT TO HYDRAULIC. DESPITE OUR EXPLANATIONS AND THOSE  
OF THE GERMAN EXPERT AND THE FACT THAT NO OTHER DELEGA-  
TION SAID ANYTNG IN SUPPORT OF THE FRENCH, GAMET WOULD  
NOT CHANGE HIS POSITION. IN THESE DISCUSSIONS, THE US  
REITERATED ITS POSITION THAT IL 1091 PERTAINED BOTH TO  
ELECTRIC AND HYDRAULIC EQUIPMENT.

5. COMMENTS: (A) DURING THE PLENARY SESSION ALL DELEGA-  
TIONS, SAVE FRANCE, EXPRESSED PLEASURE OVER THE US PRO-  
POSAL, WHICH THEY REGARDED AS BALANCED, AN IMPROVEMENT  
ON PREVIOUS VERSION, AND A FULFILLMENT OF THEIR BASIC  
DESIRES. NO DELEGATION, AT ANY TIME, MENTIONED THREE  
AXIS, HARDWIRED NC UNITS, OR ASKED RELAXATION IN THIS  
AREA. ONLY THE UK MADE A STATEMENT THAT US PROPOSAL  
DID NOT GO FAR ENOUGH IN LIBERALIZATION IN THE CNC NOTE.  
(B) DURING AND AFTER TWG SESSION, GERMAN EXPERT (WIDL)  
COMPLAINED, RATHER FORCIBLY TO US TEAM, ABOUT LACK OF  
POLICING OF COCOM EMBARGO. HE WAS PARTICULARLY UPSET  
ABOUT SHIPMENTS OF NORWEGIAN KONIGSBERG CNC UNITS INTO  
THE BLOC IN VIOLATION OF IL 1091. HE SUGGESTED THAT  
COCOM ESTABLISH A TECHNICAL NC GROUP TO REVIEW EVIDENCE  
ON VIOLATIONS AND TO CONFRONT, ON A TECHNICAL LEVEL,  
KNOWN VIOLATORS WHO MIGHT CLAIM THEIR EQUIPMENT DID  
NOT MEET TERMS OF 1091. WHEN US RELATED THESE CONVERSA-  
TIONS TO GERMAN DELEGATION HEAD GAYMANN AND DELEGATION  
MEMBER WELZIEN, THEY INDICATED THAT THE NORWEGIANS HAD  
NEVER RESPONDED TO THEIR INQUIRY AND THAT, IF ANYTHING  
MEANINGFUL WERE TO BE DONE IN THE COMMITTEE, IT WAS NE-  
CESSARY FOR THE US TO TAKE THE INITIATIVE. UK DELEGATION  
MEMBER HAYNES JOINED THIS DISCUSSION AND INDICATED SUP-  
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PORT FOR ANY CONCERTED MOVEMENT TO BRING ALLEGED DIVER-  
SIONS BEFORE COCOM'S ENFORCEMENT COMMITTEE. (C) ANOTHER  
POSSIBLE AND PERHAPS PARTIAL EXPLANATION FOR GAMET'S  
INSISTENCE ON AN ISSUE (SEE PARA (C) ABOVE) DEVELOPED  
DURING USDEL'S RECEPTION THAT EVENING. IN DISCUSSION  
WITH MISKELL AND SEASWORD, GAMET ALLUDED TO PROBLEMS  
WITH HIS "PEOPLE", MENTIONING IN PARTICULAR FRENCH MA-  
CHINE TOOL BUILDERS AND FRENCH CUSTOMS IN PARTICULAR.  
GAMET SEEMED TO SUGGEST THAT HE NEEDED "SOMETHING" TO  
COUNTER HIS CONTENTION THAT IN 1965 US AGREED THAT HY-  
DRAULIC AND ELECTRONIC DRIVES WERE SUBJECT TO AN CON-  
SIDERATION. GIVEN RIGIDITY OF FRENCH REGULATIONS AND  
LEGALISTIC TURN OF MIND, GAMET MAY BELIEVE HE NEEDS A  
STATEMENT IN THE RECORD TO EFFECT US CONSIDERS SUCH A  
STATEMENT (IF ONE EVER MADE) COMPLETELY OVERTAKEN BY  
EVENTS TO SATISFY HIS CONSTITUENCY AND TO SAVE FACE.  
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